# THE TWIST SUBGROUP OF THE MAPPING CLASS GROUP OF A NONORIENTABLE SURFACE

#### MICHAŁ STUKOW

ABSTRACT. Let  $\mathcal{T}(N)$  be the subgroup of the mapping class group of a nonorientable surface N (possibly with punctures and/or boundary components) generated by twists about two-sided circles. We obtain a simple generating set for  $\mathcal{T}(N)$ . As an application we compute the first homology group (abelianization) of  $\mathcal{T}(N)$ .

### 1. Introduction

Let  $N_{g,s}^n$  be a smooth, nonorientable, compact surface of genus g with s boundary components and n punctures. If s and/or n is zero then we omit it from the notation. If we do not want to emphasise the numbers g, s, n, we simply write N for a surface  $N_{g,s}^n$ . Recall that  $N_g$  is a connected sum of g projective planes and  $N_{g,s}^n$  is obtained from  $N_g$  by removing s open disks and specifying the set  $\Sigma = \{z_1, \ldots, z_n\}$  of n distinguished points in the interior of  $N_g$ .

Let  $\operatorname{Diff}(N)$  be the group of all diffeomorphisms  $h \colon N \to N$  such that h is the identity on each boundary component and  $h(\Sigma) = \Sigma$ . By  $\mathcal{M}(N)$  we denote the quotient group of  $\operatorname{Diff}(N)$  by the subgroup consisting of maps isotopic to the identity, where we assume that isotopies fix  $\Sigma$  and are the identity on each boundary component.  $\mathcal{M}(N)$  is called the *mapping class group* of N. The mapping class group of an orientable surface is defined analogously, but we consider only orientation preserving maps.

If we assume that maps and isotopies fix the set  $\Sigma$  pointwise then we obtain the so-called *pure mapping class group*  $\mathcal{PM}(N_{g,s}^n)$ . If we also require that maps preserve the local orientation around each of the punctures then we obtain the group  $\mathcal{PM}^+(N_{g,s}^n)$ . It is an easy

<sup>2000</sup> Mathematics Subject Classification. Primary 57N05; Secondary 20F38, 57M99.

Key words and phrases. Mapping class groups, Nonorientable surfaces.

Supported by the Foundation for Polish Science. Some part of the research was done while the author was visiting the Institute Mittag-Leffler, Djursholm, Sweden. Their hospitality and financial support is greatly appreciated.

observation that  $\mathcal{PM}(N_{g,s}^n)$  is the subgroup of index n! in  $\mathcal{M}(N_{g,s}^n)$  and  $\mathcal{PM}^+(N_{g,s}^n)$  is of index  $2^n$  in  $\mathcal{PM}(N_{g,s}^n)$ .

Define also  $\mathcal{T}(N)$  to be the twist subgroup of  $\mathcal{M}(N)$ , that is the subgroup generated by Dehn twists about two-sided circles.

By abuse of notation we will use the same letter for a map and its isotopy class and we will use the functional notation for the composition of diffeomorphisms.

1.1. Background. The study of algebraic properties of mapping class groups of an orientable surface goes back to the work of Dehn [3] and Nielsen [14–16]. Probably the best modern exposition of this reach theory is a survey article by Ivanov [6]. On the other hand, the nonorientable case has not been studied much. The first significant result is due to Lickorish [11], who proved that the twist subgroup  $\mathcal{T}(N_g)$  is a subgroup of index 2 in the mapping class group  $\mathcal{M}(N_g)$ . Moreover,  $\mathcal{M}(N_g)$  is generated by Dehn twists and a so–called "crosscap slide" (or a "Y–homeomorphism"). Later Chillingworth [2] found a finite generating set for the group  $\mathcal{M}(N_g)$ , and Birman and Chillingworth [1] showed how this generating set can be derived from the known properties of the mapping class group of the orientable double cover of  $N_g$ .

These studies were continued much later by Korkmaz [10], who found finite generating sets for the groups  $\mathcal{M}(N_g^n)$  and  $\mathcal{PM}(N_g^n)$ . Korkmaz [9, 10] also computed the first integral homology group of  $\mathcal{M}(N_g^n)$ , and under additional assumption  $g \geq 7$ , of  $\mathcal{PM}(N_g^n)$ . He also showed [10] that  $\mathcal{T}(N_g^n)$  is of index  $2^{n+1}n!$  in  $\mathcal{M}(N_g^n)$ , provided  $g \geq 7$ .

Recently [17], we extended some of the above results to arbitrary mapping class groups  $\mathcal{M}(N_{g,s}^n)$  and  $\mathcal{PM}(N_{g,s}^n)$ , provided  $g \geq 3$ . In particular we obtained simple generating sets for these groups and we computed their abelianizations.

Finally, let us mention that recently Wahl [20] proved some stability theorems for the homology of mapping class groups of nonorientable surfaces and using the ideas of Madsen and Weiss [13] she managed to identify the stable rational cohomology of  $\mathcal{M}(N)$ .

Another very promising project is the work of Szepietowski [18] who showed a method to obtain a presentation for the group  $\mathcal{M}(N_{g,s}^n)$ . Using this technique he managed [19] to derive a presentation of the group  $\mathcal{M}(N_4)$ .

1.2. **Main results.** The following paper is a natural continuation of the results mentioned above, especially it should be thought as a continuation of [17]. Namely, we study basic algebraic properties of the

twist subgroup of the mapping class group of a nonorientable surface of genus  $g \geq 3$ . The crucial observation which makes such a study possible is that  $\mathcal{T}(N_{g,s}^n)$  is a subgroup of index 2 in  $\mathcal{PM}^+(N_{g,s}^n)$  (hence of index  $2^{n+1}n!$  in  $\mathcal{M}(N_{g,s}^n)$  – see Corollaries 6.3 and 6.4). Using this observation, we obtain surprisingly simple generating set for the twist subgroup – see Theorem 6.2. Moreover, we compute the first integral homology group (abelianization) of this subgroup – see Theorem 8.1.

## 2. Preliminaries

By a circle on N we mean an unoriented simple closed curve on  $N \setminus \Sigma$ , which is disjoint from the boundary of N. Usually we identify a circle with its image. Moreover, as in the case of diffeomorphisms, we will use the same letter for a circle and its isotopy class. According to whether a regular neighbourhood of a circle is an annulus or a Möbius strip, we call the circle two-sided or one-sided respectively. We say that a circle is generic if it bounds neither a disk with less than two punctures nor a Möbius strip disjoint from  $\Sigma$ .

Let a be a two-sided circle. By definition, a regular neighbourhood of a is an annulus, so if we fix one of its two possible orientations, we can define the right Dehn twist  $t_a$  about a in the usual way. We emphasise that since we are dealing with nonorientable surfaces, there is no canonical way to choose the orientation of  $S_a$ . Therefore by a twist about a we always mean one of two possible twists about a (the second one is then its inverse). By a boundary twist we mean a twist about a circle isotopic to a boundary component. It is known that if a is not generic then the Dehn twist  $t_a$  is trivial. In particular a Dehn twist about the boundary of a Möbius strip is trivial – see Theorem 3.4 of [4].

Other important examples of diffeomorphisms of a nonorientable surface are the *crosscap slide* and the *puncture slide*. They are defined as a slide of a crosscap and of a puncture respectively, along a loop. The general convention is that one considers only crosscap slides along one-sided simple loops (in such a form it was introduced by Lickorish [11]; for precise definitions and properties see [10]).

The following two propositions follow immediately from the above definitions.

**Proposition 2.1.** Let  $N_a$  be an oriented regular neighbourhood of a two-sided circle a in a surface N, and let  $f: N \to N$  be any diffeomorphism. Then  $ft_af^{-1} = t_{f(a)}$ , where the orientation of a regular neighbourhood of f(a) is induced by the orientation of  $f(N_a)$ .

**Proposition 2.2.** Let K be a subsurface of N which is a Klein bottle with one boundary component  $\xi$ , and let y be a crosscap slide on K such that  $y^2 = t_{\xi}$ . Then for any diffeomorphism  $f: N \to N$ ,  $fyf^{-1}$  is a crosscap slide on f(K) such that

$$(fyf^{-1})^2 = t_{f(\xi)},$$

where the orientation of a regular neighbourhood of  $f(\xi)$  is induced via f by the orientation of a regular neighbourhood of  $\xi$ .

One of our main tools in studying properties of mapping class groups is the so-called *lantern relation*. The proof can be found in Section 4 of [7].

**Proposition 2.3.** Let S be a sphere with four holes embedded in a surface  $N \setminus \Sigma$  and let  $a_0, a_1, a_2, a_3$  be the boundary circles of S. Define also  $a_{1,2}, a_{2,3}, a_{1,3}$  as in Figure 1(i) and assume that the orientations of regular neighbourhoods of these seven circles are induced from the orientation of S. Then

$$t_{a_0}t_{a_1}t_{a_2}t_{a_3} = t_{a_{1,2}}t_{a_{2,3}}t_{a_{1,3}}.$$

 $\begin{array}{c|c}
 & a_{1,2} \\
\hline
 & a_{1,3} \\
\hline
 & a_{2,3} \\
\hline
 & a_{2,3} \\
\hline
 & (ii) \\
\end{array}$ (ii)

FIGURE 1. Circles of the lantern and torus with a hole relations.

Finally, recall the *torus with a hole relation* – see Lemma 3 of [12]. It can be also thought as an instance of the so–called *star* relation [5].

**Proposition 2.4.** Let S be a torus with one boundary component c embedded in a surface  $N \setminus \Sigma$  and let  $a_1$  and  $a_2$  be two two-sided circles as in Figure 1(ii). If the orientations of regular neighbourhoods of  $a_1, a_2$  and c are induced from the orientation of S then

$$(t_{a_1}t_{a_2})^6 = t_c.$$

### 3. Two models for a nonorientable surface

Let g = 2r + 1 for g odd and g = 2r + 2 for g even. Represent the surface  $N = N_{g,s}^n$  as a connected sum of an orientable surface of genus r and one or two projective planes (one for g odd and two for g even). Figures 2 and 3 show this model of N – in these figures the big shaded disks represent crosscaps, hence their interiors are to be removed and then the antipodal points on each boundary component are to be identified. The smaller shaded disks represent components of  $\partial N$  (we will call them holes).

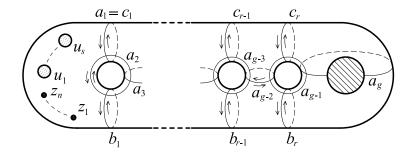


FIGURE 2. Circles  $a_i, b_i, c_i$  and  $u_i$  for g = 2r + 1.

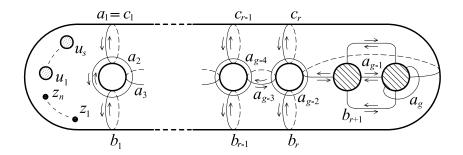


FIGURE 3. Circles  $a_i, b_i, c_i$  and  $u_i$  for g = 2r + 2.

It is well known that  $N=N_{g,s}^n$  can be also represented as a sphere with n punctures, s holes and g crosscaps – cf Figure 4. In order to distinguish this model from the previous one (provided by Figures 2 and 3), let us denote it by  $\widetilde{N}$ . The goal of this section is to construct an explicit homeomorphism  $\Phi \colon N \to \widetilde{N}$ .

Let  $a_1, \ldots, a_g$  and  $u_1, \ldots, u_s$  be two-sided circles on N as in Figures 2 and 3 ( $u_i$  are the boundary circles). Define also  $v_1, \ldots, v_{n+s}$  to be the arcs as in Figure 5(i) and observe that if we cut N along the circles

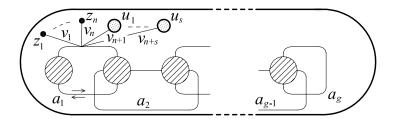


FIGURE 4. Surface N as sphere with crosscaps.

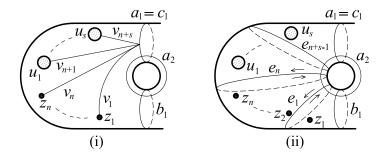


FIGURE 5. Circles  $e_i$  and arcs  $v_i$ .

 $a_1, \ldots, a_g$  and arcs  $v_1, \ldots, v_{n+s}$  we obtain a polygon  $\Delta$  with sides

$$a_1, \dots, a_{g-1}, a_g, a_{g-1}, \dots, a_1, v_1, v_1, \dots, v_n, v_n,$$
 
$$v_{n+1}, u_1, v_{n+1}, \dots, v_{n+s}, u_s, v_{n+s}, a_1, \dots, a_{g-1}, a_g, a_{g-1}, \dots, a_2$$

where the labels indicate from which circle/arc the edge came from. Identical polygon can be obtained by cutting the surface  $\widetilde{N}$  along the circles  $a_1, \ldots, a_g$  and arcs  $v_1, \ldots, v_{n+s}$  indicated in Figure 4. Moreover, it is not difficult to see that the identification patterns required to reconstruct N and  $\widetilde{N}$  form  $\Delta$  are identical (cf Figures 6 and 7). This

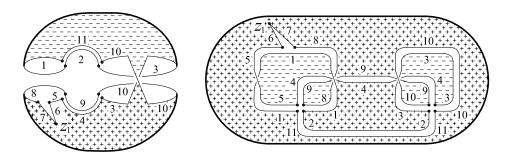


FIGURE 6. Cutting N and  $\widetilde{N}$  for (g, s, n) = (3, 0, 1).

implies that there exists a homeomorphism  $\Phi \colon N \to \widetilde{N}$  which maps

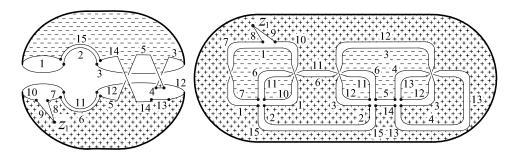


FIGURE 7. Cutting N and  $\widetilde{N}$  for (g, s, n) = (4, 0, 1).

circles  $a_1, \ldots, a_g, u_1, \ldots, u_s$  and arcs  $v_1, \ldots, v_{n+s}$  in Figures 2, 3, 5(ii) to the circles/arcs with the same labels in Figure 4.

The above geometric description of  $\Phi$  is very convenient because it provides a simple method for transferring circles between two models of N. In fact, if c is a circle on N then c becomes a collection of arcs in  $\Delta$ . Moreover, up to isotopy we can assume that c does not pass through any of the vertices of  $\Delta$ . Since  $\Delta$  is simply connected each of this arcs is uniquely determined by the position of its endpoints. To obtain the image  $\Phi(c)$  it is enough to reconstruct the surface  $\widetilde{N}$  from  $\Delta$  keeping track of the collection of arcs composing c. In practise this can be easily done using pictures like Figures 6 and 7. Moreover, it is not difficult to see that we can transfer not only the circles but also the orientations of their neighbourhoods (if it exists) – small plus and minus signs in Figures 6 and 7 indicate our choice of the orientation of  $\Delta$ . Of course the above procedure works as well in the other direction.

Keeping in mind the above description, from now on we will transfer circles form N to  $\widetilde{N}$  and vice versa without further comments.

## 4. Generators for the group $\mathcal{PM}^+(N_{g,s}^n)$

Let

$$\mathcal{C} = \{a_2, \dots, a_{g-1}, b_1, \dots, b_r, c_1, \dots, c_r, e_1, \dots, e_{n+s-1}, u_1, \dots, u_s\}$$
 for  $g$  odd, and

$$\mathcal{C} = \{a_2, \dots, a_{g-1}, b_1, \dots, b_{r+1}, c_1, \dots, c_r, e_1, \dots, e_{n+s-1}, u_1, \dots, u_s\}$$

for g even, where the circles  $a_i, b_i, c_i, u_i$  are as in Figures 2 and 3 and  $e_i$  are as in Figure 5(ii). Moreover, these figures indicate the orientations of local neighbourhoods of circles in  $\mathcal{C}$ . We did it by indicating the direction of twists about these circles. Therefore by a twist about one of the circles in  $\mathcal{C}$  we will always mean the twist determined by this particular choice of orientation (the general rule is that we consider

right Dehn twists, that is if we approach the circle of twisting we turn to the right. Define also y to be a crosscap slide supported on a Klein bottle cut of by the circle  $\xi$  indicated in Figure 8. To be more precise,

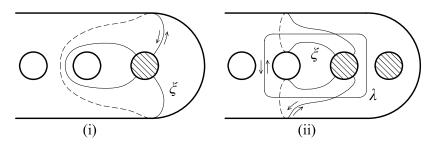


FIGURE 8. Circles  $\xi$  and  $\lambda$ .

in terms of the model  $\widetilde{N}$ , let  $C_{g-1}$  and  $C_g$  be crosscaps as in Figure 9. The same figure shows the circle  $\xi$  – it cuts off these two crosscaps.

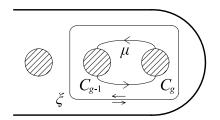


FIGURE 9. Circle  $\xi$ .

Hence we can define y to be a slide of the crosscap  $C_g$  along the path  $\mu$  indicated in that figure. In particular  $y^2 = t_{\varepsilon}$ .

Now we are ready to state the main theorem of this section, which is a simplification of the known generating set for the group  $\mathcal{PM}^+(N_{q,s}^n)$ .

**Theorem 4.1.** Let  $g \geq 3$ . Then the mapping class group  $\mathcal{PM}^+(N_{g,s}^n)$ is generated by  $\{t_l, y \mid l \in \mathcal{C}\}.$ 

*Proof.* By Theorem 5.2 of [17] and by Propositions 2.1 and 2.2, the group  $\mathcal{PM}^+(N_{q,s}^n)$  is generated by

- $\{t_l, y \mid l \in \mathcal{C}'\}$  if g is odd  $\{t_l, (t_{a_{g-2}}t_{a_{g-1}})^{-1}y(t_{a_{g-2}}t_{a_{g-1}}), t_{b_r}^{-1}t_{\lambda}t_{b_r} \mid l \in \mathcal{C}'\}$  if g is even,

where  $\lambda$  is as in Figure 8(ii) and

$$\mathcal{C}' = \mathcal{C} \cup \{f_1, \dots, f_{n+s}\}$$

for  $f_1, \ldots, f_{n+s}$  as in Figure 10(i) (Figure 10(i) defines  $f_i$  for g even; for q odd just forget about the second crosscap).

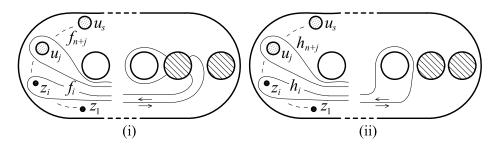


FIGURE 10. Circles  $f_i$  and  $h_i$ .

Therefore to complete the proof it is enough to show that if G = $\langle t_l, y \mid l \in \mathcal{C} \rangle$  then  $t_{f_1}, \ldots, t_{f_{n+s}} \in G$ , and  $t_{\lambda} \in G$  for g even.

Let  $h_1, \ldots, h_{n+s}$  be circles as in Figure 10(ii) (as before, for g odd forget about the second crosscap). We claim that

- $f_i = t_{c_r}^{-1} t_{a_{g-1}}^{-2} y^{-1} t_{b_r}(h_i)$  if g is odd,  $f_i = y^{-1} t_{b_{r+1}}^{-1} t_{a_{g-1}}^{-1} t_{\lambda} t_{a_{g-2}}^{-1} t_{a_{g-1}}^{-1} t_{b_r} t_{a_{g-2}}^2 t_{b_r}(h_i)$  if g is even.

In fact, using the procedure of transferring circles between two models N and N described in Section 3, it is not difficult to check that Figure 11(i) shows the circle  $t_{b_r}(h_i)$  on  $\widetilde{N}$  and Figure 11(ii) shows  $y^{-1}(t_{b_r}(h_i))$ . Then transferring this circle back to N easily leads to the first of the above relations. The second one can be proved analogously.

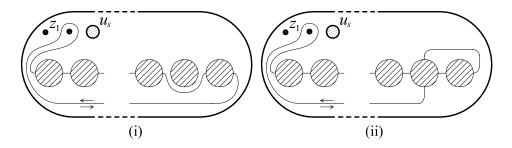


FIGURE 11. Circles  $t_{b_r}(h_i)$  and  $y^{-1}t_{b_r}(h_i)$  for g odd.

By Lemma 3.3 of [17],  $t_{h_i} \in G$  for i = 1, ..., n + s. Hence by Proposition 2.1,  $t_{f_i} \in G$  provided  $t_{\lambda} \in G$ . But this follows from the relation

$$\lambda = t_{b_{r+1}} y(a_{g-2}).$$

## 5. The action of $\mathcal{M}(N_{q,s}^n)$ on $H_1(N_{q,s}^n,\mathbb{R})$

It is known that  $H_1(N, \mathbb{R})$  has a basis in which every linear map  $f_* \colon H_1(N, \mathbb{R}) \to H_1(N, \mathbb{R})$ , induced by a diffeomorphism  $f \colon N \to N$ , has a matrix with integral coefficients. Therefore we can define the determinant homomorphism  $D \colon \mathcal{PM}^+(N) \to \mathbb{Z}_2$  as follows:  $D(f) = \det(f_*)$  (we use the multiplicative notation for the group  $\mathbb{Z}_2$ ).

**Lemma 5.1.** Let c be a two-sided nonseparating circle on N. Then  $D(t_c) = 1$ .

Proof. It is an easy topological fact, that if  $c_1$  and  $c_2$  are two-sided, nonseparating circles in N such that both  $N \setminus c_1$  and  $N \setminus c_2$  are either orientable or nonorientable, then  $f(c_1) = c_2$  for some diffeomorphism of N. Let us stress the fact that f may not be the identity on  $\partial N$ , hence we can not assume that f induces an element of the group  $\mathcal{M}(N)$ , however this is of no importance to what follows. In particular,  $(t_{c_1})_*$  and  $(t_{c_2})_*$  are conjugate in  $\operatorname{Aut}(H_1(N,\mathbb{R}))$ , hence  $D(t_{c_1}) = D(t_{c_2})$ . Moreover, if g is odd then there is no nonseparating two-sided circle c on N such that  $N \setminus c$  is orientable. Therefore, to prove the lemma it is enough to show that  $D(t_{a_1}) = 1$  and that  $D(t_{b_{r+1}}) = 1$  if g is even. This can be easily done – we skip the computations.

**Lemma 5.2.** Let c be a two-sided separating circle on N. Then  $(t_c)_*: H_1(N, \mathbb{R}) \to H_1(N, \mathbb{R})$  is the identity map.

*Proof.* Since c is two–sided, we can fix an orientation of a regular neighbourhood of c. Therefore for any circle a which is transversal to c, we can define the algebraic intersection number I(c,a) in a usual way (we do not claim that I(c,a) has any particular properties – it just counts the points  $c \cap a$  with appropriate signs). By the definition of a twist, it is obvious that

$$[t_c(a)] = [a] \pm I(c,a)[c]$$

where [x] denotes the homology class of x. Moreover, it is clear that if c is separating then I(c, a) = 0 for any circle a. Therefore

$$[t_c(a)] = [a]$$

and the lemma follows by the fact that homology classes of circles span  $H_1(N, \mathbb{R})$ .

By Lemmas 5.1 and 5.2 we obtain

**Proposition 5.3.** Let c be a two-sided circle on N. Then  $D(t_c) = 1$ .

The explicit definition of y made in the previous section easily leads to the following

**Proposition 5.4.** Let 
$$D: \mathcal{PM}^+(N) \to \mathbb{Z}_2$$
 as above. Then  $D(y) = -1$ .

## 6. Generators for the twist subgroup

The main goal of this section is to find a simple generating set for the twist subgroup  $\mathcal{T}(N)$ . Our main tool will be the following well known fact from combinatorial group theory – see for example Chapter 9 of [8].

**Proposition 6.1.** Let X be a generating set for a group G and let U be a left transversal for a subgroup H. Then H is generated by the set

$$\{ux\overline{ux}^{-1}: u \in U, x \in X, ux \notin U\},\$$

where  $\overline{q} = qH \cap U$  for  $q \in G$ .

Let  $\widetilde{\mathcal{T}}(N)$  denote the kernel of the homomorphism

$$D \colon \mathcal{PM}^+(N) \to \mathbb{Z}_2$$

defined in Section 5. The reason for our choice of notation will become apparent after Corollary 6.3 below, where we will prove that in fact  $\widetilde{\mathcal{T}}(N) = \mathcal{T}(N)$  is the twist subgroup.

**Theorem 6.2.** Let  $g \geq 3$ . Then the group  $\widetilde{\mathcal{T}}(N)$  is generated by

- $\{t_l \mid l \in \mathcal{C} \cup \{f_1, \dots, f_{n+s-1}, \xi\}\}\ if \ g = 3,$   $\{t_l \mid l \in \mathcal{C} \cup \{\psi, \xi\}\}\ if \ g \geq 5 \ odd,$   $\{t_l \mid l \in \mathcal{C} \cup \{\lambda, \psi, \xi\}\}\ if \ g \ even,$

where  $\psi$  is the two-sided circle indicated in Figure 12,  $f_1, \ldots, f_{n+s-1}$ are as in Figure 10(i),  $\lambda$  is as in Figure 8(ii) and  $\xi$  is as in Figure 8.

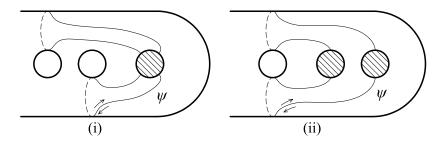


FIGURE 12. Circle  $\psi$  for q odd and even.

*Proof.* By Proposition 5.4, D is onto, hence

$$[\mathcal{PM}^+(N):\widetilde{\mathcal{T}}(N)]=2$$

and as a transversal for  $\widetilde{\mathcal{T}}(N)$  we can take  $U = \{1, y\}$ . By Theorem 4.1 and Propositions 6.1, 5.3, 5.4,  $\tilde{\mathcal{T}}(N)$  is generated by

$$\{t_l, yt_ly^{-1}, y^2 \mid l \in \mathcal{C}\}.$$

Clearly the circles

- $u_1, \ldots, u_s$  if g = 3,
- $a_2, \ldots, a_{q-3}, b_1, \ldots, b_{r-1}, c_1, \ldots, c_{r-1}, e_1, \ldots, e_{n+s-1},$  $u_1, \ldots, u_s$  if q > 5 odd,
- $a_2, \ldots, a_{g-3}, b_1, \ldots, b_r, c_1, \ldots, c_r, e_1, \ldots, e_{n+s-1},$  $u_1, \ldots, u_s$  if q even,

are disjoint from the support of y (cf Figures 2, 3 and 8). Since  $yt_ly^{-1} =$  $t_{u(l)}^{\pm}$  and  $y^2 = t_{\xi}$ ,  $\widetilde{T}(N)$  is generated by

- $\{t_l \mid l \in \mathcal{C} \cup \{y(a_2), y(b_1), y(c_1), y(e_1), \dots, y(e_{n+s-1}), \xi\}\}$  if g = 3,  $\{t_l \mid l \in \mathcal{C} \cup \{y(a_{g-2}), y(a_{g-1}), y(b_r), y(c_r), \xi\}\}$  if  $g \ge 5$  odd,  $\{t_l \mid l \in \mathcal{C} \cup \{y(a_{g-2}), y(a_{g-1}), y(b_{r+1}), \xi\}\}$  if g even.

We defined y using  $\widetilde{N}$ , so it is convenient to transfer circles to that model. Once this is done, it is not difficult to check that we have relations:

- $y(a_2) = a_2, y(c_1) = b_1, y^{-1}(e_1) = \tau_1, \dots, y^{-1}(e_{n+s-1}) = \tau_{n+s-1}$ if q = 3, where  $\tau_i$  is as in Figure 13,
- $y(a_{g-2}) = \psi$ ,  $y(a_{g-1}) = a_{g-1}$ ,  $y(c_r) = b_r$  if  $g \ge 5$  odd,  $y(a_{g-2}) = t_{b_{r+1}}^{-1}(\lambda)$ ,  $y(a_{g-1}) = a_{g-1}$ ,  $y^{-1}(b_{r+1}) = \psi$  if g even.

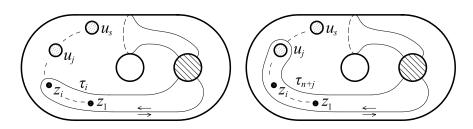


FIGURE 13. Circles  $\tau_1, \ldots, \tau_{n+s-1}$  for g = 3.

To complete the proof it is enough to show that the twists  $t_{\tau_1}, \ldots, t_{\tau_{n+s-1}}$ can be replaced by twists  $t_{f_1}, \ldots, t_{f_{n+s-1}}$  in case g=3. In order to prove this, let  $\varrho_i = t_{c_1}^{-1} t_{a_2}^{-1}(\tau_i)$ . Then it is straightforward to check that

$$\tau_i = t_{\varrho_{i-1}}^{-1} t_{a_2}^2 t_{c_1}(f_i)$$
 for  $i = 2, \dots, n+s-1$ .

Therefore, using the relation  $\tau_1 = f_1$ , we can inductively replace each  $t_{\tau_i}$  by  $t_{f_i}$ .

Corollary 6.3. Let  $g \geq 3$ . Then the kernel of the determinant homomorphism  $D \colon \mathcal{PM}^+(N) \to \mathbb{Z}_2$  is the twist subgroup of  $\mathcal{M}(N)$ , that is  $\widetilde{\mathcal{T}}(N) = \mathcal{T}(N)$ .

*Proof.* Clearly  $\mathcal{T}(N) \leq \mathcal{PM}^+(N)$  and by Proposition 5.3,

$$\mathcal{T}(N) \le \ker D = \widetilde{\mathcal{T}}(N).$$

On the other hand, by Theorem 6.2,  $\widetilde{\mathcal{T}}(N) \leq \mathcal{T}(N)$ .

Corollary 6.4. The twist subgroup  $\mathcal{T}(N)$  has index  $2^{n+1}n!$  in  $\mathcal{M}(N_{g,s}^n)$ .

*Proof.* By the previous corollary,  $\mathcal{T}(N) = \ker D$ , so the conclusion follows from the obvious equality

$$[\mathcal{M}(N) : \ker D] = [\mathcal{M}(N) : \mathcal{PM}(N)] \cdot [\mathcal{PM}(N) : \mathcal{PM}^+(N)] \cdot \\ \cdot [\mathcal{PM}^+(N) : \ker D] = n! \cdot 2^n \cdot 2.$$

In the case of a closed surface  $N_g$ , the above corollary was first proved by Lickorish [11]. Later Korkmaz [10] proved it for a punctured surface  $N_g^n$  under additional assumption  $g \geq 7$ .

#### 7. Homological results for the twist subgroup

For the rest of the paper, for any  $f \in \mathcal{T}(N)$  let [f] denotes the homology class of f in  $H_1(\mathcal{T}(N), \mathbb{Z})$ . Moreover, we will use the additive notation in  $H_1(\mathcal{T}(N), \mathbb{Z})$ .

## 7.1. Homology classes of non-peripheral twists.

**Lemma 7.1.** Let a and b be two two-sided circles on N such that I(a,b) = 1 and the orientations of regular neighbourhoods of a and b are induced from the orientation of  $a \cup b$ . Then  $t_a$  and  $t_b$  are conjugate in  $\mathcal{T}(N)$ . In particular  $[t_a] = [t_b]$  in  $H_1(\mathcal{T}(N))$ .

*Proof.* The Lemma follows form the braid relation

$$t_b = (t_a t_b) t_a (t_a t_b)^{-1}.$$

**Lemma 7.2.** Assume that  $g \geq 3$  and let a and b be two nonseparating two-sided circles on N such that  $N \setminus a$  and  $N \setminus b$  are nonorientable. Then  $t_a$  is conjugate in  $\mathcal{T}(N)$  either to  $t_b$  or to  $t_b^{-1}$ .

*Proof.* By Lemma 7.1, it is enough to prove that there exits a sequence of two–sided circles  $p_1, \ldots, p_k$  such that  $p_1 = a, p_k = b$  and  $I(p_i, p_{i+1}) = 1$  for  $i = 1, \ldots, k-1$ . In other words, using the terminology of [10], we have to prove that a and b are dually equivalent. For a nonorientable surface with punctures this was proved in Theorem 3.1 of [10]. It is straightforward to check that the same proof applies to the case of a surface with boundary.

**Lemma 7.3.** Let  $g = 2r + 2 \ge 4$ . Then  $t_{b_{r+1}}$  is conjugate in  $\mathcal{T}(N)$  to  $t_{\psi}^{-1}$ , where  $\psi = y^{-1}(b_{r+1})$  is as in Theorem 6.2.

*Proof.* Figure 14(i) shows the circle  $b_{r+1}$  as a circle on  $\widetilde{N}$ . Using the

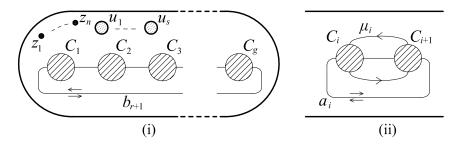


FIGURE 14. Circle  $b_{r+1}$  and crosscap slide  $y_i$ , Lemma 7.3.

notation from this figure, let  $y_i$  for  $i=1,\ldots,g-1$ , be a slide of the crosscap  $C_{i+1}$  along the loop  $\mu_i$  as shown in Figure 14(ii). In particular  $y_{g-1}=y$ . It is straightforward to check that

$$y_{g-2}t_{a_{g-2}}y_{g-3}t_{a_{g-3}}\cdots y_2t_{a_2}y_1(b_{r+1})=y_{g-1}^{-1}(b_{r+1})=\psi.$$

Moreover,  $y_{g-2}t_{a_{g-2}}\cdots y_2t_{a_2}y_1$ , as a product of twists and even number of crosscap slides, is in the kernel of the determinant homomorphism  $D: \mathcal{PM}^+(N) \to \mathbb{Z}_2$  defined in Section 5. Therefore, by Corollary 6.3,  $t_{b_{r+1}}$  is conjugate in  $\mathcal{T}(N)$  to either  $t_{\psi}$  or  $t_{\psi}^{-1}$ . Careful examination of the orientations of local neighbourhoods of  $b_{r+1}$  and  $\psi$  shows that in fact  $t_{b_{r+1}}$  is conjugate to  $t_{\psi}^{-1}$ .

**Lemma 7.4.** Let 
$$g \geq 4$$
. Then  $[t_{a_1}^2] = 0$  in  $H_1(\mathcal{T}(N))$ .

Proof. Figure 15(i) shows three two-sided nonseparating circles a, b and c such that I(a, b) = 1, I(b, c) = 1 and the complement of each of these circles in N is nonorientable. Hence by Lemma 7.1, all three twists  $t_a, t_b$  and  $t_c$  are conjugate in  $\mathcal{T}(N)$ . Similarly, Figure 15(ii) shows that  $t_a, t_{b'}$  and  $t_c^{-1}$  are conjugate. Hence  $t_c$  and  $t_c^{-1}$  are conjugate in  $\mathcal{T}(N)$ . By Lemma 7.2, the same is true for  $t_{a_1}$ .

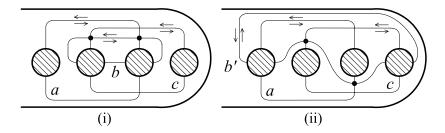


FIGURE 15. Circles a, b, b' and c, Lemma 7.4.

Remark 7.5. Observe that if a and b are two–sided nonseparating circles on N such that  $N \setminus a$  and  $N \setminus b$  are nonorientable, then Lemma 7.2 gives us no hint if  $t_a$  is conjugate to  $t_b$  or maybe to  $t_b^{-1}$ . However, by Lemma 7.4, as long as  $g \geq 4$  and we are concern with homology classes, the above ambiguity is inessential.

Now let recall some results form [17].

**Lemma 7.6** (Lemma 6.7 of [17]). Let  $g \geq 7$ . Then  $[t_{a_1}] = 0$ .

**Lemma 7.7** (Lemma 6.6 of [17]). Assume  $g = 2r + 2 \ge 6$ . Then  $[t_{b_{r+1}}] = 0$ .

**Lemma 7.8** (Lemma 6.12 of [17]). Assume  $g \geq 5$ . Then the boundary twists  $t_{u_1}, \ldots, t_{u_s}$  are trivial in  $H_1(\mathcal{T}(N))$ .

**Lemma 7.9** (Lemma 6.14 of [17]). Assume that  $g \geq 3$  and let  $\kappa$  be a two-sided separating circle on N such that one of the components of  $N \setminus \kappa$  is a disk  $\Delta$  containing the punctures  $z_1, \ldots, z_n$  and the holes  $u_1, \ldots, u_s$ . Moreover, assume that the orientation of  $\Delta$  agrees with the orientations of neighbourhoods of  $u_1, \ldots, u_s$  and  $\kappa$ . Then

$$[t_{\kappa}] = [t_{u_1} \cdots t_{u_s}] = [t_{u_1}] + \cdots + [t_{u_s}].$$

Although each of the above lemmas was originally stated in terms of the group  $\mathcal{PM}^+(N_{g,s}^n)$ , by Lemmas 7.1, 7.4 and by Remark 7.5, their proofs work as well in the case of the twist subgroup  $\mathcal{T}(N)$ .

**Lemma 7.10.** Let  $g \geq 4$  and let  $\beta$  be a separating circle on N such that one component of  $N \setminus \beta$  is Klein bottle with one hole and the second component is nonorientable. Then  $[t_{\beta}] = 0$ . In particular

$$[y^2] = [t_{\mathcal{E}}] = 0.$$

*Proof.* Figure 16 shows that there is a lantern configuration with one circle  $\beta$  and all other twists either trivial or conjugate to  $t_{a_1}$ . Hence

$$[t_{a_1}t_{a_1}] = [t_{\beta}t_{a_1}t_{a_1}].$$

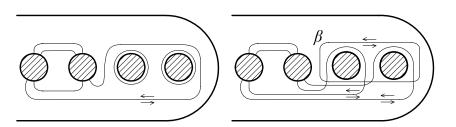


FIGURE 16. Lantern relation, Lemma 7.10.

**Lemma 7.11.** Let  $g \geq 4$  and let  $\gamma$  be a circle on N such that one of the components of  $N \setminus \gamma$  is a nonorientable surface of genus 3 with one hole. Then  $[t_{\gamma}] = 0$ .

*Proof.* Figure 17 shows that there is a lantern configuration with one circle  $\gamma$  and all other circles either bounding Möbius strips or satisfying the assumptions of Lemma 7.10.

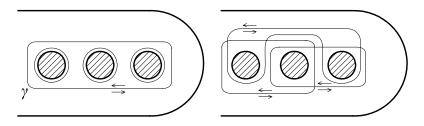


FIGURE 17. Lantern relation, Lemma 7.11.

## 7.2. Homology classes of boundary twists.

Lemma 7.12. Let g = 4. Then

$$[t_{u_1}] + [t_{u_2}] + \dots + [t_{u_s}] = 0.$$

*Proof.* By Lemma 7.9,

$$[t_{u_1}] + [t_{u_2}] + \cdots + [t_{u_s}] = t_{\kappa},$$

where  $\kappa$  is a circle on N bounding all the punctures and boundary circles. On the other hand, Figure 18 shows that there is a lantern configuration with one circle  $\gamma$  and all other circles either bounding Möbius strips or satisfying the assumptions of Lemmas 7.10 or 7.11.

**Lemma 7.13.** Let  $g \geq 3$ . Then  $2[t_{u_j}] = [t_{u_j}^2] = 0$  for  $j = 1, \ldots, s$ .

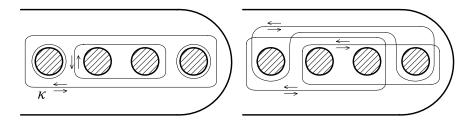


FIGURE 18. Lantern relation, Lemma 7.12.

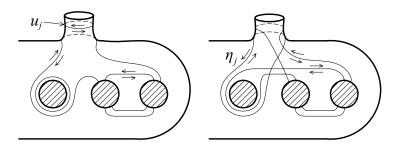


FIGURE 19. Lantern relation  $[t_{u_j}t_{a_1}t_{a_1}^{-1}] = [t_{\eta_j}t_{a_1}^{-1}t_{a_1}].$ 

*Proof.* Consider the lantern relation indicated in Figure 19. Using Lemma 7.1, it is easy to prove that all four twists about nonseparating circles in this figure are conjugate to  $t_{a_1}$ , hence we have

$$[t_{u_i}t_{a_1}t_{a_1}^{-1}] = [t_{\eta_i}t_{a_1}^{-1}t_{a_1}].$$

Therefore it is enough to show that  $ft_{\eta_j}f^{-1}=t_{\eta_j}^{-1}$  for some  $f\in\mathcal{T}(N)$ . The circle  $\eta_j$  divides the surface N into a projective plane N' with two holes and a nonorientable surface N''. Let  $h\colon N\to N$  be a diffeomorphism obtained as follows. On each of N' and N'', h is a slide of  $\eta_j$  along the core of a crosscap such that h is -id on  $\eta_j$ . Clearly  $h\in\mathcal{PM}^+(N^n_{g,s})$  and  $ht_{\eta_j}h^{-1}=t^{-1}_{\eta_j}$ . By Proposition 5.4, D(y)=-1 where  $D\colon\mathcal{PM}^+(N)\to\mathbb{Z}_2$  is the determinant homomorphism. Moreover  $yt_{\eta_j}y^{-1}=t^{-1}_{\eta_j}$ , hence by Corollary 6.3, either f=h or f=hy is the required diffeomorphism.

## 7.3. Homology classes of twists for g = 3.

**Lemma 7.14.** Assume that q = 3. Then

$$12[t_{a_1}] = [t_{\xi}] = [t_{u_1}] + [t_{u_2}] + \ldots + [t_{u_s}].$$

In particular  $12[t_{a_1}] = [t_{\xi}] = 0$  if s = 0.

*Proof.* Applying Lemma 2.4 to the configuration shown in Figure 20, we obtain

$$(t_{a_1}t_{a_2})^6 = t_{\alpha}.$$

Hence by Lemmas 7.1 and 7.2,

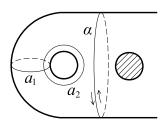


FIGURE 20. Relation  $(t_{a_1}t_{a_2})^6 = t_{\alpha}$ .

$$(7.1) 12[t_{a_1}] = [t_{\alpha}].$$

Using Lemma 7.1, one can check that all twists about nonseparating essential circles indicated in Figure 21 are conjugate to  $t_{a_1}$ . Therefore

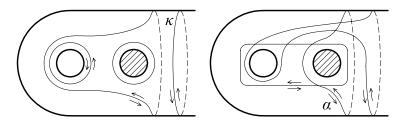


FIGURE 21. Relation  $[t_{a_1}t_{a_1}t_{\kappa}] = [t_{a_1}t_{a_1}t_{\alpha}].$ 

we have a lantern relation

$$[t_{a_1}t_{a_1}t_{\kappa}] = [t_{a_1}t_{a_1}t_{\alpha}],$$

where  $\kappa$  is as in Lemma 7.9. Together with (7.1) this yields

$$(7.2) 12[t_{a_1}] = [t_{\kappa}].$$

On the other hand, by the lantern relation provided by Figure 22, we have

$$[t_{\kappa}t_{a_1}t_{a_1}^{-1}] = [t_{\xi}t_{a_1}^{-1}t_{a_1}].$$

 $[t_{\kappa}t_{a_1}t_{a_1}^{-1}]=[t_{\xi}t_{a_1}^{-1}t_{a_1}].$  By (7.2), this gives  $12[t_{a_1}]=[t_{\kappa}]=[t_{\xi}].$  Moreover, by Lemma 7.9,

$$[t_{\kappa}] = [t_{u_1}] + [t_{u_2}] + \ldots + [t_{u_s}].$$

**Lemma 7.15.** Assume that g = 3. Then  $24[t_{a_1}] = 0$ .

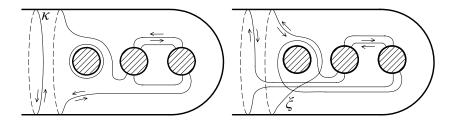


FIGURE 22. Relation  $[t_{\kappa}t_{a_1}t_{a_1}^{-1}] = [t_{\xi}t_{a_1}^{-1}t_{a_1}].$ 

*Proof.* Figure 23 shows that there is a lantern relation

$$t_{\kappa} = t_{\xi} t_{\xi_1} t_{\xi_2},$$

where  $\kappa$  is as in Lemma 7.14. Moreover by the proof of that lemma,

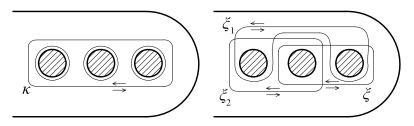


FIGURE 23. Lantern relation  $t_{\kappa} = t_{\xi} t_{\xi_1} t_{\xi_2}$ .

$$[t_{\xi}] = [t_{\xi_1}] = [t_{\xi_2}] = [t_{\kappa}]$$
. Hence

$$[t_{\xi}] = 3[t_{\xi}].$$

Using once again Lemma 7.14, we have  $24[t_{a_1}] = 2[t_{\xi}] = 0$ .

## 7.4. Some special cases.

**Proposition 7.16.** Let  $N = N_{g,s}^n$  be a nonorientable surface of genus g with s holes and n punctures. Then

$$\mathbf{H}_{1}(\mathcal{T}(N), \mathbb{Z}) = \begin{cases} \langle [t_{a_{1}}] \rangle \cong \mathbb{Z}_{12} & for (g, s, n) = (3, 0, 0), \\ \langle [t_{a_{1}}] \rangle \cong \mathbb{Z}_{24} & for (g, s, n) = (3, 1, 0), \\ \langle [t_{a_{1}}], [t_{b_{r+1}}] \rangle \cong \mathbb{Z}_{2} \times \mathbb{Z} & for (g, s, n) = (4, 0, 0). \end{cases}$$

*Proof.* By Theorem 3 of [1], the group  $\mathcal{M}(N_3)$  has a presentation

$$\mathcal{M}(N_3) = \langle t_{a_1}, t_{a_2}, y \mid t_{a_1} t_{a_2} t_{a_1} = t_{a_2} t_{a_1} t_{a_2}, y t_{a_1} y^{-1} = t_{a_1}^{-1}, y t_{a_2} y^{-1} = t_{a_2}^{-1}, y^2 = 1, (t_{a_1} t_{a_2})^6 = 1 \rangle.$$

Using  $U = \{1, y\}$  as a transversal for the subgroup  $\mathcal{T}(N_3)$ , it is straightforward to obtain that

$$\mathcal{T}(N_3) = \langle t_{a_1}, t_{a_2} \mid t_{a_1} t_{a_2} t_{a_1} = t_{a_2} t_{a_1} t_{a_2}, (t_{a_1} t_{a_2})^6 = 1 \rangle.$$

This implies that

$$H_1(\mathcal{T}(N_3)) \cong \langle t_{a_1} \mid t_{a_1}^{12} = 1 \rangle.$$

The reasoning for the surfaces  $N_{3,1}$  and  $N_4$  is similar, one has to use the known presentations for the groups  $\mathcal{M}(N_{3,1})$  and  $\mathcal{M}(N_4)$  – see Theorem 7.16 of [18] and Theorem 2.1 of [19].

8. Computing 
$$H_1(\mathcal{T}(N), \mathbb{Z})$$

**Theorem 8.1.** Let  $N = N_{g,s}^n$  be a nonorientable surface with n punctures and s holes. Then

$$H_{1}(\mathcal{T}(N), \mathbb{Z}) = \begin{cases} \mathbb{Z}_{12} & \text{if } g = 3 \text{ and } s = 0, \\ \mathbb{Z}_{24} \times \mathbb{Z}_{2}^{s-1} & \text{if } g = 3 \text{ and } s \geq 1, \\ \mathbb{Z}_{2} \times \mathbb{Z} & \text{if } g = 4 \text{ and } s = 0, \\ \mathbb{Z}_{2}^{s} \times \mathbb{Z} & \text{if } g = 4 \text{ and } s \geq 1, \\ \mathbb{Z}_{2} & \text{if } g = 5, 6, \\ 0 & \text{if } g \geq 7. \end{cases}$$

*Proof.* By Theorem 6.2, Corollary 6.3, Lemmas 7.2 and 7.3,  $H_1(\mathcal{T}(N))$ is generated by

- $[t_{a_1}], [t_{\xi}], [t_{u_1}], \dots, [t_{u_s}]$  if g is odd,
- $[t_{a_1}], [t_{b_{r+1}}], [t_{\xi}], [t_{u_1}], \ldots, [t_{u_s}]$  if g is even.

#### Moreover

- $[t_{a_1}] = 0$  if  $g \ge 7$  (Lemma 7.6),  $[t_{b_{r+1}}] = 0$  if  $g \ge 6$  even (Lemma 7.7),  $[t_{u_1}] = \cdots = [t_{u_s}] = 0$  if  $g \ge 5$  (Lemma 7.8),
- $[t_{\xi}] = 0$  if  $g \ge 4$  (Lemma 7.10),
- $[t_{u_1}] + \cdots + [t_{u_s}] = 0$  if g = 4 (Lemma 7.12),
- $[t_{\varepsilon}] = [t_{u_1}] + \cdots + [t_{u_s}] = 12[t_{a_1}]$  if g = 3 (Lemma 7.14).

Hence  $H_1(\mathcal{T}(N))$  is generated by

- $[t_{a_1}], [t_{u_1}], \dots, [t_{u_{s-1}}] \text{ if } g = 3,$   $[t_{a_1}], [t_{b_{r+1}}], [t_{u_1}], \dots, [t_{u_{s-1}}] \text{ if } g = 4,$   $[t_{a_1}] \text{ if } g = 5, 6,$

and  $H_1(\mathcal{T}(N)) = 0$  if  $g \geq 7$ . In particular this concludes the proof in the case  $g \geq 7$ . Therefore in what follows we assume that  $g \leq 6$ .

We also know that

(8.1) 
$$2[t_{a_1}] = 0$$
 if  $g \ge 4$  (Lemma 7.4),

(8.2) 
$$2[t_{u_1}] = \cdots = 2[t_{u_{s-1}}] = 0$$
 if  $g \ge 3$  (Lemma 7.13),

(8.3) 
$$12[t_{a_1}] = 0$$
 if  $g = 3$  and  $s = 0$  (Lemma 7.14),

(8.4) 
$$24[t_{a_1}] = 0$$
 if  $g = 3$  (Lemma 7.15).

Hence it is enough to prove that every relation in the abelian group  $H_1(\mathcal{T}(N))$  is a consequence of the relations (8.1)–(8.4) above.

Let g = 3 and suppose that

(8.5) 
$$\alpha[t_{a_1}] + \varepsilon_1[t_{u_1}] + \dots + \varepsilon_{s-1}[t_{u_{s-1}}] = 0.$$

If s > 0 define N' to be a surface of genus 3 obtained from N by forgetting all the punctures and gluing a disk to each boundary component but one, say  $u_s$ . If s = 0 define N' by forgetting about the punctures in N. We have a homomorphism

$$\Phi \colon \mathcal{T}(N) \to \mathcal{T}(N').$$

Clearly  $\Phi(t_{u_i}) = 0$  for  $1 \le i \le s - 1$ , hence equation (8.5) yields

$$\alpha[t_{a_1}] = 0$$
 in  $H_1(\mathcal{T}(N'))$ .

By Proposition 7.16, we have  $24|\alpha$  if s > 0 and  $12|\alpha$  if s = 0. Therefore by relations (8.3) and (8.4), equation (8.5) becomes

(8.6) 
$$\varepsilon_1[t_{u_1}] + \dots + \varepsilon_{s-1}[t_{u_{s-1}}] = 0.$$

This concludes the proof if  $s \leq 1$ , hence assume that  $s \geq 2$ . Now let  $\widehat{N}_j$  for  $j = 1, \ldots, s-1$ , be the surface obtained from N by forgetting the punctures, gluing a cylinder to the circles  $u_j$  and  $u_s$  and finally gluing a disk to each of the remaining boundary components. Then  $\widehat{N}_j$  is a closed nonorientable surface of genus 5. Let

$$\Upsilon_j \colon \mathcal{T}(N) \to \mathcal{M}(\widehat{N}_j)$$

be the homomorphism induced by inclusion. Since  $\Upsilon_j(t_{u_i}) = 0$  for  $i \neq j$  and  $i \neq s$ , equation (8.6) gives us

$$\varepsilon_j[t_{u_j}] = 0 \quad \text{in } H_1(\mathcal{M}(\widehat{N}_j)).$$

Since  $u_j$  is a nonseparating two–sided circle on  $\widehat{N}_j$ , by Theorem 1.1 of [9], we have  $2|\varepsilon_j$ . By relation (8.2), equation (8.6) becomes 0 = 0, which completes the proof for g = 3.

The proof for g = 4 is analogous. If we assume that

$$\alpha[t_{a_1}] + \beta[t_{b_{r+1}}] + \varepsilon_1[t_{u_1}] + \dots + \varepsilon_{s-1}[t_{u_{s-1}}] = 0$$

the we can show that  $\alpha = \beta = 0$  in the same manner as in the case g = 3, namely by mapping N into a closed surface of genus 4 and using Proposition 7.16. Similarly, we can show that  $\varepsilon_1 = \dots \varepsilon_{s-1} = 0$  by mapping N into a closed surface of genus 6 and using Theorem 1.1 of [9].

If g = 5 or g = 6 the proof is even simpler, it is enough to map N into a closed surface and use Theorem 1.1 of [9], we skip the details.  $\square$ 

## References

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